



PATENT

Attorney Docket No. 5740.02
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Herbert J. Neuhaus et al.

Appln. No. 09/812,140

Filed: 19 March 2001

For: ELECTRICAL COMPONENT ASSEMBLY
AND METHOD OF FABRICATION

Confirmation No.: 7640

Group Art Unit: 2826

Examiner: Alexander Williams

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Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Third Supplemental Information Disclosure Statement (Under 37 C.F.R. 1.97(c)(1);
2. Information Disclosure Statement by Applicant (Form PTO-1449);
3. Photocopy of PCT Notification of Transmittal of The International Search Report or the Declaration and International Search Report dated 09 April 2002 of corresponding International Application No. PCT/US01/20094;
4. Photocopies of eight (8) prior art references;
4. Certificate of Mailing by Express Mail; and
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relating to the above application, were deposited as "Express Mail," Mailing Label No. EL 936643620 US with the United States Postal Service, addressed to Commissioner for Patents, Washington, D.C. 20231, on this 30 day of July 2002.

Mailer

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THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Under 37 C.F.R. 1.97(c)(1)

Commissioner for Patents
Washington, D.C. 20231

Sir:

The Examiner is requested to consider the references noted on the enclosed Form PTO-1449 during examination of the above-identified patent application. These references are submitted for the Examiner's consideration and are submitted pursuant to the duty of disclosure under 37 C.F.R. § 1.56. In submitting these references, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality. Copies of the cited documents are enclosed.

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Name: Kristi Murray

Signature: Kristi Murray

The references cited on the attached PTO-1449 were cited in an International Search Report dated 09 April 2002, of corresponding International Application No. PCT/US01/20094. A copy of the International Search Report is enclosed herewith.

Statement Under 37 CFR 1.97(e)

The undersigned hereby certifies that U.S. Patent Nos. 5,616,520; 5,684,677; 5,840,417; 5,921,856; 5,953,210; 6,051,489; 6,084,172; and 6,183,874, contained in this information disclosure statement were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

Accordingly, pursuant to 37 C.F.R. 1.97(c)(1), no fees are due with respect to this filing. However, should any fees be deemed necessary, such fees may be charged to Deposit Account No. 04-1415. A duplicate copy of this disclosure statement is enclosed for use as may be appropriate.

Should the examiner have any questions concerning the relevance of the patents cited in this disclosure, please contact the undersigned attorney.

Dated: 3 July 2002.

Respectfully submitted,



Brad J. Hattenbach, Reg. No. 42,642
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cc: Nanopierce Technologies, Inc.
Docketing